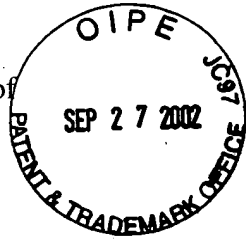


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



#8/I.205
REVISED
OCT 10/5/02
TECHNOLOGY CENTER 2800
Adm H

Patent Application of

TOYOSAWA et al

Serial No. 09/598,169

Filed: June 21, 2000

Atty. Ref.: 1035-270

Group: 2811

Examiner: Vu, H.

For: A SEMICONDUCTOR DEVICE HAVING ACTIVE ELEMENT
CONNECTED TO AN ELECTRODE METAL PAD VIA A BARRIER
METAL LAYER AND INTERLAYER INSULATING FILM

* * * * *

September 27, 2002

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

- | | | | |
|-------------------------------------|----|----------------------------------------------------------------------------------------------------------------|----------|
| <input type="checkbox"/> | 1. | PTO-1449 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action] | N/C |
| <input checked="" type="checkbox"/> | 2. | Statement Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance] | N/C |
| <input type="checkbox"/> | 3. | Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance] | \$180.00 |
| <input type="checkbox"/> | 4. | Petition, Statement & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before Issue Fee payment] | \$130.00 |

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

The following are submitted in the above-identified application in compliance with 37
C.F.R. §§ 1.97 and 1.98:

- | | | |
|-------------------------------------|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> | 5. | A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith. |
|-------------------------------------|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

This paper is submitted in accordance with:

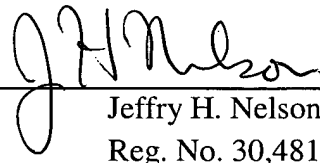
- | | | |
|--------------------------|----|---------------------------------------------------------------------------|
| <input type="checkbox"/> | 6. | 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action] |
|--------------------------|----|---------------------------------------------------------------------------|

- ☒ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☒ a) The required Statement made in item 9 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- ☐ 8. 37 CFR §1.97(d): [before issue fee payment]; and
- ☐ a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in item 10 below; and
- ☐ b) The required Statement is stated in item 9 below.
- ☒ 9. Statement under 37 CFR 1.97(e)
- ☒ a) Each item of information contained in this Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 10. Please charge all applicable fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: _____


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